

June 11, 2020

Mid-Atlantic Securities, Inc.

Regulation Best Interest Disclosure

This guide summarizes important information concerning the scope and terms of the brokerage services we offer and details the material conflicts of interest that arise through our delivery of brokerage services to you. We encourage you to review this information carefully, along with any applicable account agreement(s) and disclosure documentation you may receive from us.

As you review this information, we would like to remind you that we are registered with the U.S. Securities and Exchange Commission (SEC) as a broker dealer and an investment adviser providing both brokerage services and investment advisory services and are also a member of FINRA, MSRB and SIPC. All recommendations regarding your brokerage account will be made in a broker-dealer capacity, and all recommendations regarding your advisory account will be in an advisory capacity. When we make a recommendation to you, we will expressly tell you orally which account we are discussing.

Our brokerage services are the primary focus of this guide. For more information on our investment advisory services and how they differ from brokerage, please review the Customer Relationship Summary (or Form CRS) available from your registered representative or by visiting <https://www.midatlanticsecurities.com>. Our Form CRS contains important information about the types of services we offer, both brokerage and investment advisory, along with general information related to compensation, conflicts of interest, disciplinary action and other reportable legal information.

Please carefully review and consider the information in each section below.

Brokerage Services

When you establish a brokerage account with us, you have the ability to buy, sell and hold investments within your account. The primary service we provide is our trading capability. We execute purchases and sales on your behalf, and as directed by you. The capacity in which we act is disclosed on your trade confirmation.

Cash Brokerage and Margin Brokerage Accounts

We provide brokerage services through either a cash brokerage account or margin brokerage account, based on your eligibility and selection. In a cash brokerage account, you must pay for your purchases in full at the time of purchase. In a margin brokerage account, you must eventually pay for your purchases in full, but you may borrow part of the purchase price from our clearing firm, Raymond James & Associates, Inc. (RJA). This is generally referred to as a "margin loan." The portion of the purchase price that is loaned to you is secured by securities in your account, also referred to as "collateral." You will incur interest costs as a result of your margin activity. While many securities are eligible to be used as collateral for a margin loan, some assets are not available for margin collateral purposes.

Given that a margin-enabled brokerage account has specific eligibility requirements, unique costs, and governing regulatory requirements, our default brokerage option is our cash brokerage account. You must execute a separate margin agreement before engaging in margin brokerage activity. Included with your margin agreement is a copy of the Margin Disclosure Statement. This statement contains important information you should understand and consider before establishing a margin brokerage relationship with us. For more information on our margin brokerage services, contact a registered representative or refer to our Margin Disclosure Statement available at <https://www.raymondjames.com/wealth-management/advice-products-and-services/banking-and-lending-services/margin/margin-disclosure-statement>.

Brokerage Account Types

We offer many different brokerage account types including individual and joint accounts, custodial accounts, estate and trust accounts, partnership accounts, individual retirement accounts and other types of retirement accounts as outlined in our account agreement(s). You should refer to our account agreement(s) for more information concerning available account types or speak with a registered representative.

Incidental Brokerage Services, Recommendations and Account Monitoring

Within your brokerage account, we may also provide other incidental services such as research reports, and recommendations to buy, sell, or hold assets. When we make a securities recommendation, investment strategy recommendation or recommendation to rollover assets from your Qualified Retirement Plan (QRP) to an Individual Retirement Account (IRA), the recommendation is made in our capacity as a broker-dealer unless otherwise stated at the time of the recommendation. Any

such statement will be made orally to you. Moreover, when we act in a brokerage capacity, we do not agree to enter into a fiduciary relationship with you.

It is important for you to understand that when our registered representatives make a brokerage recommendation to you, we are obligated to ensure the recommendation is in your best interest, considering reasonably available alternatives, and based on your stated investment objective, risk tolerance, liquidity needs, time horizon, financial needs, tax status, and other financial information you provide us. You may accept or reject any recommendation. It is also your responsibility to monitor the investments in your brokerage account, and we encourage you to do so regularly. We do not commit to provide on-going monitoring of your brokerage account. If you prefer on-going monitoring of your account or investments, you should speak with a financial advisor about whether an advisory services relationship is more appropriate for you.

Please also consider that from time to time we may provide you with additional information and resources to assist you with managing your brokerage account. This may include but is not limited to educational resources, sales and marketing materials, performance reports, asset allocation guidance, and/or periodic brokerage account reviews. When we offer these services and information, we do so as a courtesy to you. These activities are not designed to monitor specific investment holdings in your brokerage account, they do not contain specific investment recommendations about investment holdings, and you should not consider them a recommendation to trade or hold any particular securities in your brokerage account. Upon your request, we will review such information and reports with you and may provide you with investment recommendations, but we are not under a specific obligation to do so.

Clearing Services

We have entered into an agreement with Raymond James & Associates, Inc. (also referred to herein as “Clearing Agent” or RJA) to carry your account and provide certain back office functions. We and RJA share responsibilities with respect to your account as set forth in the Designation of Responsibilities that was delivered to you on or shortly after opening of your account. Please refer to the Designation of Responsibilities for more information on how such responsibilities have been allocated between us.

Cash Sweep Program Feature

Our brokerage services include a Cash Sweep Program feature. This program permits you to earn a return on uninvested cash balances in your brokerage account by allowing cash balances to be automatically “swept” into a “Cash Sweep Vehicle,” until such balances are otherwise required to satisfy obligations arising in your account. These Cash Sweep Vehicles include interest-bearing deposit accounts, and if permissible, money market mutual funds or such other sweep arrangements made available to you. You will receive additional information concerning the Cash Sweep Program in your account agreement(s). More information about the Cash Sweep Program can be is available at <https://www.raymondjames.com/wealth-management/advice-products-and-services/banking-and-lending-services/cash-management/cash-sweeps>. Please review that Disclosure Statement carefully.

Account Minimums and Activity Requirements

There is no minimum initial account balance required to open a brokerage account with us. However, if you either fail to fund your account or do not return account opening documents as required, your account will be closed. In addition, some types of brokerage accounts have minimum account activity requirements and/or minimum on-going balance requirements that must be maintained, or your brokerage account will be closed. These requirements are detailed in the account agreement(s) you receive when you open your brokerage account.

Understanding Risk

It is important for you to understand that all investment recommendations and activities involve risk, including the risk that you may lose your entire principal. Further, some investments involve more risk than other investments. Higher-risk investments may have the potential for higher returns but also for greater losses. The higher your “risk tolerance,” meaning the amount of risk or loss you are willing and able to accept in order to achieve your investment goals, the more you may decide to invest in higher-risk investments offering the potential for greater returns. We align risk tolerances with investment needs to offer you different investment objectives from which to choose (see below). You should select the investment objective and risk tolerance best aligned with your brokerage account goals and needs.

Investment goals typically have different time horizons and different income and growth objectives. Risk tolerance also varies and we measure it on a continuum that increases from “Conservative” to “Moderately Conservative” to “Moderate” to “Moderately Aggressive” and finally to “Aggressive”. See the chart below for details.

Investment Objective	
Wealth Accumulation	The client intends to accumulate assets in this account to help meet his or her financial goals.
Wealth Preservation	The client intends to use this account to preserve assets that he or she has already accumulated.
Provide Income	The client intends to receive cash flow from this account as a source of income.
Wealth Accumulation and Income	The client intends to accumulate assets in this account, while also receiving some cash flow from it as a source of income.
Risk Tolerance	
Conservative	The client seeks to preserve his or her principal investment with minimal risk of volatility or loss. Because preservation is the client's goal, the client anticipates and is willing to tolerate minimal short-term fluctuations in the value of his or her assets. The client understands that there is limited potential for long-term return on his or her investments.
Moderately Conservative	The client seeks a modest total return on his or her investments with limited risk of volatility or loss. The client anticipates and is willing to tolerate some short-term fluctuations in the value of his or her assets. Over the long term, the client hopes to achieve some return on his or her investments.
Moderate	The client seeks a moderate total return on his or her investments in exchange for accepting some risk of volatility or loss. The client anticipates and is willing to tolerate moderate short-term fluctuation in the value of his or her assets. Over the long term, the client hopes to achieve an average return on his or her investments.
Moderately Aggressive	The client seeks a greater total return on his or her investments in exchange for accepting a greater potential for volatility and risk of loss. The client anticipates and is willing to tolerate greater short-term fluctuations in the value of his or her assets, and the client understands that this could include the substantial loss of his or her principal investment amount. Over the long term, the client hopes to achieve better-than-average returns on his or her investments.
Aggressive	The client seeks maximum return on his or her investments. The client anticipates and is willing to tolerate significant potential for volatility and an extremely high level of risk, including the potential loss of all or most of the investment amount. Over the long term, the client anticipates frequent wide swings in market value and hopes to achieve maximum return on his or her investments.

Our recommendations are based in part on your risk tolerance and investment objective as outlined above. We encourage you to carefully consider your investment objective and risk tolerance before investing.

Brokerage Service Models and Products

We offer full-service brokerage, providing recommendations in the purchase and sale of a variety of products. Most frequently, we'll recommend transactions in equities, exchange traded funds (ETFs), mutual funds, bonds and money market funds. Occasionally, we'll recommend transactions in Unit Investment Trusts (UITs), preferred stocks, listed REITs, structured notes, options or variable annuities. Other products may be recommended, when deemed to be in your best interest.

While we will take reasonable care in developing and making recommendations to you, securities involve risk, and you may lose money. There is no guarantee that you will meet your investment goals, or that our recommended investment strategy will perform as anticipated. Please consult any available offering documents for any security we recommend for a discussion of risks associated with the product. We can provide those documents to you or help you to find them.

Brokerage Fees and Our Compensation

It is important to consider that while a brokerage relationship can be a cost-effective way of investing your assets, it is not for everyone given the fees and costs involved.

Transaction-Based Fees

You will pay transaction-based fees for trades you decide to enter into, such as buying and selling stocks, bonds, Exchange Traded Products (ETPs), mutual funds, annuity contracts, exercising options and other investment purchases and sale. These transaction-based fees are generally referred to as a “commission,” “mark up,” “sales load,” or a “sales charge.” Transaction-based fees are based on a host of factors, including, but not limited to:

- Underlying product selection
- Your brokerage service model and account type
- Size of your transaction and/or overall value of your account
- Frequency of your trade activity
- Available discounts and/or fee waivers

Account and Service Fees

You will pay fees for various operational services provided to you through your brokerage account. These fees are set at least annually and communicated to you through information included in your account statement and other notifications. These fees do not apply to all account types.

You should understand that based on the brokerage service model you choose, the same or similar products, accounts and services may vary in the fees and costs charged to you. For more information concerning our administrative and service fees, see your new account agreement.

Account maintenance and other administrative fees

For the services we provide or make available to you with respect to your brokerage account, Raymond James will charge certain account maintenance and other administrative fees, including transfer, wire, IRA fees, closing account fees, or other miscellaneous fees, as described in the fee schedule provided to you on an annual basis.

How We Are Compensated

We receive direct and indirect compensation in connection with your accounts. Direct compensation is taken directly from the affected account. Indirect compensation is compensation paid in ways other than directly from the account and may impact the value of the associated investments in your account. The sections below describe the compensation that we receive in connection with various investments that may be available to you. In many cases, the descriptions that follow refer to a prospectus or offering documents.

Commissions for Stocks, Rights, Warrants, Secondary Market Closed End Funds (CEFs) and Exchange Traded Products (ETPs)

Commissions compensation is received as direct compensation. Commissions vary based on factors associated with the individual trade, including the quantity of shares, trade price, and principal value of the transaction. The commission will be deducted from your account when a trade is placed and the amount of a specific commission will be disclosed on the trade confirmation.

Option Rates Equity and Index

Options compensation is received as direct compensation. Option commissions vary based on the number of contracts, trade price of the contract, strike price of the underlying security and principal value of the transactions. The commission on an option transaction will be deducted from your account when an options trade is placed. The amount of the specific option commission will be disclosed on the trade confirmation.

Debt Securities

For debt securities, including preferred securities and CDs, the issuer determines the mark up and we can add additional mark ups at our discretion. We may apply a charge (i.e., markup or markdown) of up to 1.5% of the amount of your secondary market transaction.

Mutual Funds and 529 Accounts

We currently offer thousands of mutual funds through traditional accounts and through 529 accounts varying in share class structure and investment style. If you invest in mutual funds, we may receive direct and indirect compensation in connection with such mutual fund investments, as described below. In addition to the fees below, a \$40 ticket fee is charged on most no-load mutual fund transactions. The ticket fee may be discounted at the Registered Representative's discretion, but they cannot discount or waive other fees charged by the mutual fund company, including front-end sales charges, contingent deferred sales charges and 12b-1 fees, as described below. The amount of fees, including any ticket fee, front-end sales charge, and/or contingent deferred sales charge can be found in the mutual fund prospectus and direct fees will be disclosed on the trade confirmation. The fees and costs of mutual funds vary depending on the fund family and share class. Below is a summary of these costs.

12b-1/Shareholder Service Fees

Annual 12b-1 fees, also known as trails, are paid by the fund and paid to us out of fund assets under a distribution and servicing arrangement to cover distribution expenses and sometimes shareholder service expenses that we may provide on the fund's behalf. Shareholder servicing fees are paid to respond to investor inquiries and provide investors with information about their investments. These fees are asset-based fees charged by the fund family. These fees range from 0.00% to 1.00%, depending on share class and are set by the fund. 12b-1 fees may be passed on to us and may in turn be passed on to your Financial advisor as a commission; however other operating expenses of the fund are not paid to us. 12b-1 fees are typically charged on Class A, Class B and Class C shares and may be charged on other share classes.

Front-end Sales Charge Fees/Contingent Deferred Sales Charges (CDSC)

Front-end sales charge fees may be charged and paid to us, including your Financial advisor, when you purchase a fund. The front-end sales charge is a direct fee and is deducted from the initial investment on certain share classes. This charge normally ranges from 0.00% to 5.75%. Some purchases may qualify for a reduced front-end sales charge due to breakpoint discounts based on the amount of transaction and rights of accumulation. In addition, some purchases may qualify for a sales charge waiver based on the type of account, and/or certain qualifications within the account. You should contact your Registered Representative or consult the mutual fund prospectus if you believe you are eligible for sales charge waivers. Front-end Sales Charges are typically charged on Class A shares and may be charged on other share classes.

CDSC is a direct charge you pay upon withdrawal of money from a fund prior to the end of the fund's CDSC period. CDSC charges range from 0.00% to 5.50%. CDSC periods can range from zero to seven years. This charge typically exists only on share classes that do not have a front-end sales charge. It is sometimes referred to as the back-end load. CDSCs are not charged when you purchase a fund. The fee charged will depend on the share class purchased by the investor. A CDSC is not passed on to your Financial advisor. You can find a description of the amount and payment frequency of all fees and expenses charged and paid by the fund in the fund's prospectus. Fees and expenses disclosed in the fund's prospectus are charged against the investment values of the fund. CDSC fees are typically charged on Class B shares with a seven-year CDSC period; and on Class C shares with a one-year CDSC period and may be charged on other share classes.

Different fund families offer different share classes, which is why it is important to review the fund prospectus, which outlines the differences between the different share classes available for the respective fund family. The specific breakpoint schedules, front end sales charge, CDSC fee/CDSC period, 12b-1 fee and other operating expenses will be disclosed in the prospectus. You can also find a description of any fees or costs, including the payment frequency in the fund's prospectus. In addition, your registered representative can explain the different share class options available, and how the available share classes differ. Fees and expenses disclosed in the fund's prospectus are charged against the investment values of the fund.

Annuities

Annuities we may recommend through the broker-dealer consist of variable annuities. Under arrangements with insurance companies, we, including your registered representative, receive commissions from the insurance companies for the sale of annuities, as well as trail commissions, and they are considered indirect compensation. Commissions and trails paid to us vary by product type and may vary by insurance carrier.

For additional information regarding Annuities, please reference the annuity prospectus and annuity contract. Your registered representative can provide you with additional information.

Unit Investment Trusts (UITs)

UITs we may recommend consist of Equity and Fixed-Income UITs. We, along with your registered representatives, are compensated prospectus issued by the UIT provider. Your registered representative can provide you a copy of the most recent

prospectus. The UIT provider deducts fees as compensation from the proceeds available for investments for marketing and distribution expenses, which may include compensating us as described in each UIT prospectus.

Margin Interest

In addition to the commissions received, MAS receives a portion of margin interest charged by RJA for those clients that have a margin-enabled account and carry a margin balance. As such, we have an incentive to recommend clients leverage their accounts through the use of margin.

Training and Education

We work closely with many product and service providers who provide training and education compensation to offset or reimburse us for costs incurred in conducting comprehensive training and educational meetings for our registered representatives. These meetings or events are held to educate registered representatives on product characteristics, business building ideas, successful sales techniques, suitability as well as various other topics. In addition, certain vendors provide free or discounted research or other vendor products and services, which can assist our registered representatives with providing services to the plan.

Likewise, from time to time, product providers will reimburse us for expenses incurred by individual branch offices in connection with conducting training and educational meetings, conferences, or seminars for registered representatives and participants. Also, registered representatives may receive promotional items, meals or entertainment or other non-cash compensation from product providers.

Although training and education compensation is not related to individual transactions or assets held in client accounts, it is important to understand that, due to the total number of product providers whose products are offered by us, it is not possible for all companies to participate in a single meeting or event. Consequently, those product providers that do participate in training or educational meetings, seminars or other events gain an opportunity to build relationships with registered representatives; these relationships could lead to sales of that particular company's products.

Trade Corrections

MAS has a policy to cancel and correct trade errors as soon as practical to avoid market loss. All trade errors are reviewed by a Principal of the Firm. If MAS is found to have made an error in the investment decision-making process (e.g., a decision to purchase a security or an amount of a security that violates client guidelines) or in the trading process (e.g., a buy order executed as a sell order or vice versa), then a correction will be made in the client account. registered Representatives do not profit from any trade correction.

Conflicts of Interest

Conflicts of interest exist when we provide brokerage services to you. A conflict of interest is a situation in which we engage in a transaction or activity where our interest is materially adverse to your interest. The mere presence of a conflict of interest does not imply that harm to your interests will occur, but it is important that we acknowledge the presence of conflicts. Moreover, our regulatory obligations require that we establish, maintain, and enforce written policies and procedures reasonably designed to address conflicts of interest associated with our recommendations to you.

Our conflicts of interest are typically the result of compensation structures and other financial arrangements between us, our registered representatives, our clients and third parties. We offer a broad range of investment services and products and we receive various forms of compensation from our clients, non-affiliated product providers and money managers, and other third parties as described above. Securities rules allow for us and our registered representatives to earn compensation when we provide brokerage services to you. However, the compensation that we and our registered representatives receive from you varies based upon the product or service you purchase, which creates a financial incentive to recommend investment products and services that generate greater compensation to us.

We are committed to taking appropriate steps to identify, mitigate and avoid conflicts of interest to ensure we act in your best interest when providing brokerage recommendations to you. Below you will find additional information related to our conflicts of interest. This information is not intended to be an all-inclusive list of our conflicts, but generally describes those conflicts that are material to your brokerage relationship. In addition to this disclosure, conflicts of interest are disclosed to you in your account agreement(s) and disclosure documents, product guides, prospectus's and other information we make available to you.

Compensation We Receive from Clients

Transaction-based conflicts

In your brokerage account you pay certain fees (commissions and sales charges) in connection with the buying and selling of each investment product, including mutual funds, variable annuities, alternative investments, exchange traded funds, equity securities, and bonds. The more transactions you enter into, the more compensation that we and your registered representative receive. This compensation creates an incentive for us to recommend that you buy and sell, rather than hold, these investments. We also have an incentive to recommend that you purchase investment products that carry higher fees, instead of products that carry lower fees or no fees at all. We maintain policies and procedures reasonably designed to help ensure this doesn't occur. We utilize technology to assist in the supervision of trading to help identify excessive commissions and trading that would generate high fees.

Markups and markdowns for principal transactions

When you buy or sell bonds and other fixed income securities in a brokerage account, and in accordance with industry regulations, we may impose a markup (increase) or markdown (decrease) in the price of transactions we execute on a principal basis. We are compensated based upon the difference (markup) between the price you pay for securities purchased from us and the price we sell such securities to you over the prevailing market price, or the difference (markdown) between the price you sell securities to us and the price we purchase such securities from you over the prevailing market price. We maintain policies and procedures reasonably designed to help ensure compliance with the markup and markdown industry rules.

Compensation We Receive from Third Parties

The total amount of payments we receive varies from product to product and varies with respect to the third-party investment management products we recommend. It also varies from the compensation we receive in connection with other products and services we may make available to you, including advisory services. We have an incentive to recommend investment products and services that generate greater payments to us. This compensation generally represents an expense embedded in the investment products and services that is borne by investors, even where it is not paid by the Product Sponsor and not directly from the investment product or other fees you pay. The types of third-party compensation we receive include:

Revenue Sharing. MAS shares in the revenue of some fees collected by RJA in addition to the commissions. MAS receives a portion of margin interest charged for those clients that have a margin-enabled account and carry a margin balance. MAS also receives a portion of the interest earned in cash sweep accounts.

Trail Compensation. Ongoing compensation from Product Sponsors may be received by us and shared with our registered representatives. This compensation (commonly known as trails, service fees or Rule 12b-1 fees in the case of mutual funds) is typically paid from the assets of the investment product under a distribution or servicing arrangement and is calculated as an annual percentage of invested assets. The amount of this compensation varies from product to product. We have an incentive to recommend that you purchase and hold interests in products that pay us higher trails. We review account applications and trading activity and seek to recommend the share class that is in the best interest of the client based on anticipated holding periods and share class availability.

Additional Compensation from Product Sponsors and Other Third Parties

We and our registered representatives, associates, employees, and agents receive additional compensation from Product Sponsors and other third parties including:

- Gifts and awards, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives, including services for identifying prospective clients.
- Payment or reimbursement for the costs associated with education or training events that are attended by our employees, agents, and registered representatives, and for conferences and events that we sponsor.
- Reimbursement from Product Sponsors for research and technology-related costs, such as those to build systems, tools, and new features to aid in servicing clients. Additionally, we receive compensation from Product Sponsors to provide aggregate sales data.

Note: The amount of these payments is not dependent or related to the level of assets you or any other of our clients invest in or with the Product Sponsor.

Product Share Classes. Some Product Sponsors offer multiple structures of the same product (e.g., mutual fund share classes) with each option having a unique expense structure, and some having lower costs to you as compared to others. We are

incentivized to make available those share classes or other product structures that will generate the highest compensation to us. . We review account applications and trading activity and seek to recommend the share class that is in the best interest of the client based on anticipated holding periods and share class availability.

Compensation Related to Advisory Services

Compensation Related to Advisory Services

Brokerage recommendations can include a recommendation to invest in an advisory account managed by us. We will receive additional compensation or economic benefits from investments by you, including, but not limited to, investment management fees, service fees and similar revenue sharing arrangements. The compensation related to these may be greater than similar products provided by third parties. Thus, we have an incentive to manage your investments through MAS rather than recommend a third-party investment manager.

Compensation Received by Registered Representatives

Registered representatives are compensated in a variety of ways based on the percentage of revenue generated from sales of products and services to clients and/or total assets under advisement, including brokerage account activity. This compensation may vary by the product or service associated with a brokerage recommendation. In addition to upfront-transaction based compensation, some products feature on-going residual or “trail” payments. Thus, registered representatives are incentivized to recommend products that have higher fees as well as those with on-going payments.

Registered representatives have an incentive to recommend you rollover assets from a Qualified Retirement Plan (QRP) to a brokerage Individual Retirement Account (IRA) because of the compensation they will receive. We maintain policies and procedures designed to ensure that rollover recommendations are in your best interest.

Brokerage accounts, unlike advisory accounts, do not feature an on-going fee based on assets under management. Registered representatives are incentivized to recommend you transition your brokerage services account to an advisory account to generate on-going revenue when your brokerage account has minimal activity. Further, registered representatives are incentivized to recommend you transition your brokerage account to an advisory account after you have already placed purchases resulting in commissions and/or other transaction-based brokerage fees. Registered representatives may also have an incentive to provide higher levels of service to those clients who generate the most fees. We have controls established to identify and mitigate this risk.

Other Registered Representative Activities

Registered representatives may be motivated to place trades ahead of clients in order to receive more favorable prices than their clients. The Firm regularly reviews and supervises trade activity of our registered representatives as a way to mitigate this issue.

Certain individuals are separately licensed as insurance agents/brokers for various independent insurance companies. In their separate capacities as independent insurance agents, these individuals will be able to implement insurance transactions for clients, including Fixed and Index Annuities, for separate and typical compensation. You are under no obligation to purchase these products from our representatives.

Additional Resources

Additional Resources, including Form CRS and legal disclosures can be found on our website at <https://www.midatlanticsecurities.com>. In addition, you can visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research us and our financial professionals. You can also call your Registered Representative at 919.783.7787 to request up-to-date disclosure information or to ask any questions you have about this brochure, or brokerage services offered.